

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Henry C. Lin and Mark Pimentel

Serial No. 09/837,797

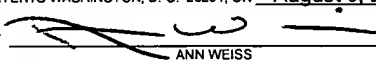
Filed on: April 17, 2001

Title: METHODS OF DIAGNOSING AND TREATING SMALL INTESTINAL BACTERIAL OVERGROWTH (SIBO) AND SIBO-RELATED CONDITIONS

**TRANSMITTAL OF AND  
RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION  
FILING DATE GRANTED**

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D. C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO BOX MISSING PARTS, THE ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D. C. 20231, ON <u>August 6, 2001</u>	
BY 	ANN WEISS
<u>August 6, 2001</u>	
Date of Signature	

Dear Sir or Madam:

In response to the *Notice to File Missing Parts of Nonprovisional Application*

*Filing Date Granted* mailed June 6, 2001, Applicants submit the following documents:

1. Copy of *Notice to File Missing Parts of Application (Part 2)*; and
2. Fully executed Declarations of inventors Henry C. Lin and Mark Pimentel;

The Commissioner is hereby authorized to charge \$65.00 to Deposit Account No. 50-1597, under 37 C.F.R. §1.27 and to charge any additional fees due or to credit any overpayments under C.F.R. §§ 16(e) and 1.17 which may be required.

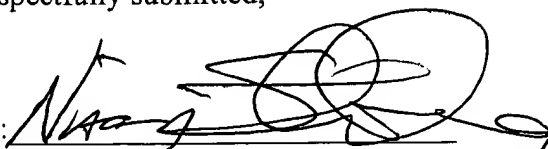
Also enclosed are:

3. Assignment with Transmittal authorizing Commissioner to charge to Deposit Account No. 50-1597 \$40.00 for recordation of assignment under C.F.R. 1.21(h).
4. Power of Attorney;
5. Certificate Under 37 C.F.R. 3.73(b) Establishing Right of Assignee to Prosecute;

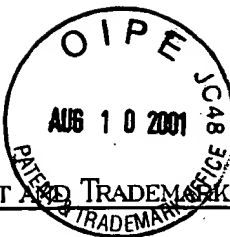
and

6. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f)  
and 1.27(d))--Nonprofit Organization.

Respectfully submitted,

By:   
Nisan A. Steinberg, Ph.D.  
Registration No. 40,345

555 West Fifth Street  
Los Angeles, California 90013-1010  
Ofc: 213/ 896-6665  
Fax: 213/896-6600



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,797	04/17/2001	Henry C. Lin	18810-81652

CONFIRMATION NO. 9913

## FORMALITIES LETTER



\*OC00000006155304\*

SIDLEY & AUSTIN  
555 West Fifth Street  
Los Angeles, CA 90071-2909

Date Mailed: 06/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/13/2001 SMINASS1 00000095 501597 09837797

01 FC:205 65.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): LIN ET AL.

AUG 10 2001

Docket No.

18810-81652

Serial No.

09/837,797

Filing Date  
APR 17, 2001Examiner  
UNASSIGNED

Group Art Unit

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Invention:

**METHODS OF DIAGNOSING AND TREATING SMALL INTESTINAL BACTERIAL OVERGROWTH (SIBO)  
AND SIBO-RELATED CONDITIONS**

I hereby certify that this Verified Statement Claiming Small Entity Status

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The


Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 6, 2001

(Date)

Ann Weiss

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)**Note: Each paper must have its own certificate of mailing.**

0590

Section 9

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Lin et al. **AUG 10 2001**

Docket No.

18810-81652

Serial No.

09/909,317

Filing Date

April 17, 2001

Examiner

Unassigned

Group Art Unit

--

Invention:

**Methods of Diagnosing and Treating Small Intestinal Bacterial Overgrowth (SIBO) and  
SIBO-related Conditions**

I hereby certify that this Assignment

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

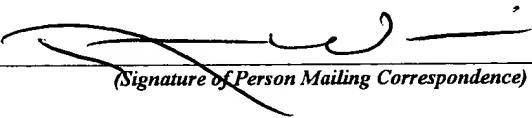
Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 6, 2001

*(Date)*

Ann Weiss

*(Typed or Printed Name of Person Mailing Correspondence)*

  
*(Signature of Person Mailing Correspondence)*

**Note: Each paper must have its own certificate of mailing.**